



## Adrian P. Castro

### Director

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Adrian represents individuals and businesses in complex civil disputes and employment matters across a range of industries, including auto dealerships, broker dealers, construction, finance, oil and gas, software, startups, and marijuana dispensaries and grow operations. He appreciates the challenges of commercial litigation, especially those related to employment law.

A former in-house attorney, Adrian helps clients develop cost-effective solutions that acknowledge and incorporate their businesses' goals, timelines, and budgets into each stage of a litigation. He is a trusted resource for companies navigating the legal issues that arise in the workplace, and his clients rely on him to provide unique insights regarding lender relationships, sensitive employment issues, public scrutiny, sensitivity to legal fees, and alternative fee arrangements. Clients also turn to Adrian to provide counsel on restrictive covenants, non-compete agreements, severance agreements, employee handbooks and manuals, workplace investigations, and independent contractor status.

Always cool under pressure, Adrian serves as a volunteer firefighter in his spare time and is a recreational athlete who competes in endurance and mountain bike races. His level-headed approach to litigation has helped clients resolve intense disputes related to broker-dealer law, regulated utilities, securities and banking, real estate, mortgage and title, labor and employment, bankruptcy, and insurance. He also regularly counsels clients regarding violations of federal security statutes, labor and employment issues, breach of contract, fraud, antitrust violations, violations of the Foreign Corrupt Practices Act, and violations of state and federal RICO statutes.

### Education

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Hofstra University School of Law, J.D., *magna cum laude*, 2005

University of Albany, B.S., Business Administration, *magna cum laude*, 2002

### Bar Admissions

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Colorado  
New York

## Practice Areas

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Employment  
Business and Commercial Litigation  
Litigation  
Appellate  
Securities Litigation, Arbitration, and Regulatory Defense  
Securities Offerings, Regulation, and Compliance

## Sectors

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Automobile Dealerships  
Broker Dealer and Investment Banking  
Oil and Gas  
Insurance

## Representative Experience

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- Represented a natural gas utility a complex multi-state litigation involving regulatory and contractual disputes.
- Represented a global financial services firm in a number of successful broker recruitment litigations, including matters involving FINRA arbitration.
- Represented a large banking institution in a dispute involving claims of unsuitability and violations of state securities laws.
- Represented individual defendants in a matter involving the interplay of federal law, state law and the FDIC.
- Represented a national financial services firm in dismissing a number of untimely claims improperly asserted by the plaintiff; also obtained attorney fees and cost in form of sanctions against the plaintiff
- Assisted in representation of a major bank in an action centered on certain interest rate swap transactions.
- Assisted in representation of a national bank against a Bermuda insurance company.
- Assisted in representation of an international bank in the Enron Corporation Security Litigation.
- A senior member of the team of litigators that represented a hedge fund in the bankruptcy of a major auto parts manufacturer

## Professional Affiliations

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- Colorado Bar Association
- Denver Metro Chamber of Commerce
- Denver Hispanic Bar Association
- Denver Hispanic Chamber of Commerce
- Latin American Educational Foundation
  - Board of Directors, 2018
- New York City Bar Association
- New York State Bar Association

## Recognition

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- Colorado Super Lawyers
  - Rising Star, Business Litigation
- *Law Week Colorado* "Up and Coming Attorneys," finalist, 2010

